



#13

PATENT  
U.S. Patent Application No. 09/544,776  
Atty. Docket No. 1561.003

I hereby certify that this paper is being deposited in the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on August 11, 2000

Nancy L. Swanson  
Nancy L. Swanson

August 11, 2000  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DONG WEI et al.  
Serial No.: 09/544,776 Group Art: 1643  
Filed: 04/07/00 Examiner: Unassigned  
For: NOVEL PROTEIN ASSOCIATED WITH CELL STRESS RESPONSE

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Forward to: Office of Initial Patent Examination's  
Customer Service Center

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

In the section "Applicant(s)," the following correction needs to be remedied:



PATENT  
U.S. Patent Application No. 09/544,776  
Atty. Docket No. 1561.003

At line 1, please correct the spelling of Dong Wei's name from "Don Wei" to --Dong Wei--. (See Exhibit A)

At the "Continuing Data as Claimed by Applicant" section, please correct to read:

--This Application Claims Benefit of 60/128,372, filed 04/08/1999 and 60/140,331, filed 06/21/1999-- (See Exhibit B)

The corrections requested are due to errors by Applicant and, therefore, a fee of \$25.00 is enclosed. The Assistant Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR 1.16 and 1.17 to Deposit Account No. 03-1664. This, however, is not authorization to pay the issue fee.

Respectfully submitted,

By: Kimberlin L. Morley  
Kimberlin L. Morley  
Attorney for Applicant  
Reg. No. 35,391

Date: Aug 10, 2000

CHIRON CORPORATION  
Intellectual Property-R440  
4560 Horton Street  
Emeryville, CA 94608-2916

EXHIBIT A

## FILING RECEIPT



\*OC00000005177264\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/544,776	04/07/2000	1643	1476	1561.003/200130.471	12	29	11

Chiron Corporation  
Intellectual Property R338  
PO Box 8097  
Emeryville, CA 94662-8097

Date Mailed: 06/14/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Don Wei, San Francisco, CA ;  
Robert Halenbeck, San Rafael, CA ;  
Lewis T. Williams, Mill Valley, CA ;

(should be: Dong)

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/128,372 04/08/1999

ADD: → and BENEFIT OF 60/140,331 06/21/99

## Foreign Applications

If Required, Foreign Filing License Granted 06/14/2000

## Title

Novel protein associated with cell stress response

## Preliminary Class

530

Data entry by : MASON, JAMES

Team : OIPE

Date: 06/14/2000

FILE NUMBER 1561.003  
ATTY. KLM PA. \_\_\_\_\_  
INFO. DISCLOSURE DUE DATE 12/14/00-JC  
FOREIGN FILING DEADLINE \_\_\_\_\_  
STATUS CHECK \_\_\_\_\_  
DOCKETED BY WLF DATE 6/19/00



# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Agency Pocket No.

1561.003 / 200130.471

First Inventor or Application Identifier

Wei et al.

Title

NOVEL PROTEIN ASSOCIATED WITH CELL STRESS  
RESPONSE

Express Mail Label No.

EL487463447US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 202311. ☒ General Authorization Form & Fee Transmittal  
(Submit an original and a duplicate for fee processing)2. ☒ Specification [Total Pages] **49**  
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Sheets] **12**4. Oath or Declaration [Total Pages] **1**

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)

5. ☐ Incorporation By Reference (useable if box 4b is  
checked) The entire disclosure of the prior application,  
from which a copy of the oath or declaration is supplied  
under Box 4b, is considered to be part of the disclosure of  
the accompanying application and is hereby incorporated  
by reference therein.6. ☐ Microfiche Computer Program (Appendix)7. Nucleotide and Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer-Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)10. ☐ English Translation Document (if applicable)11. ☐ Information Disclosure  
Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations12. ☐ Preliminary Amendment13. ☒ Return Receipt Postcard14. ☐ Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16. ☒ Other: Certificate of Express Mail  
Check No. 11176 for \$ 1,476  
Sequence Listing (3 pages)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior Application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

☒ Claims the benefit of Provisional Application Nos. 60/128,372 and 60/140,331

## CORRESPONDENCE ADDRESS

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Respectfully submitted,

TYPED or PRINTED NAME Jane E. R. PotterSIGNATURE Jane E. R. PotterREGISTRATION NO. 33,332Date April 7, 2000